

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4085384
<b>Application Number:</b>	10605551
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2550
<b>Title of Invention:</b>	SYSTEM AND METHOD FOR OPERATIONAL RISK ASSESSMENT AND CONTROL
<b>First Named Inventor/Applicant Name:</b>	Jennifer B. Owens
<b>Customer Number:</b>	24239
<b>Filer:</b>	Robert Brian Drozd/Faye Wigfall
<b>Filer Authorized By:</b>	Robert Brian Drozd
<b>Attorney Docket Number:</b>	014033-014
<b>Receipt Date:</b>	09-OCT-2008
<b>Filing Date:</b>	08-OCT-2003
<b>Time Stamp:</b>	12:57:29
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$1110
RAM confirmation Number	7554
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Extension of Time	014_EOT_100908.pdf	127597 d4d994165d7bfa85ac23cc2c691ef33bb6d72970	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2		014_Amend_100908.pdf	702559 c99ee46a25f1dd6f65f8818df8ed45626fad90b7a	yes	18
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>		<b>Start</b>	<b>End</b>	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
	Claims		2	14	
	Applicant Arguments/Remarks Made in an Amendment		15	18	
<b>Warnings:</b>					
<b>Information:</b>					
3	Fee Worksheet (PTO-06)	fee-info.pdf	30605 95cee17547a371d663e31e6f55672c639d8af09b	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			860761		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					